

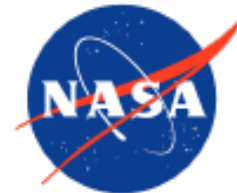
# **Optimization of Airport Surface Traffic: A Case-study of Incheon International Airport**

**8 June 2017**

**Yeonju Eun\*, Daekeun Jeon,  
Myeongsook Jeong, Hyounkyong Kim,  
Eunmi Oh, and Sungkwon Hong,**  
Korea Aerospace Research Institute



**Hanbong Lee, Yoon Jung**  
NASA Ames Research Center  
**Zhifan Zhu**  
SGT, NASA Ames Research Center



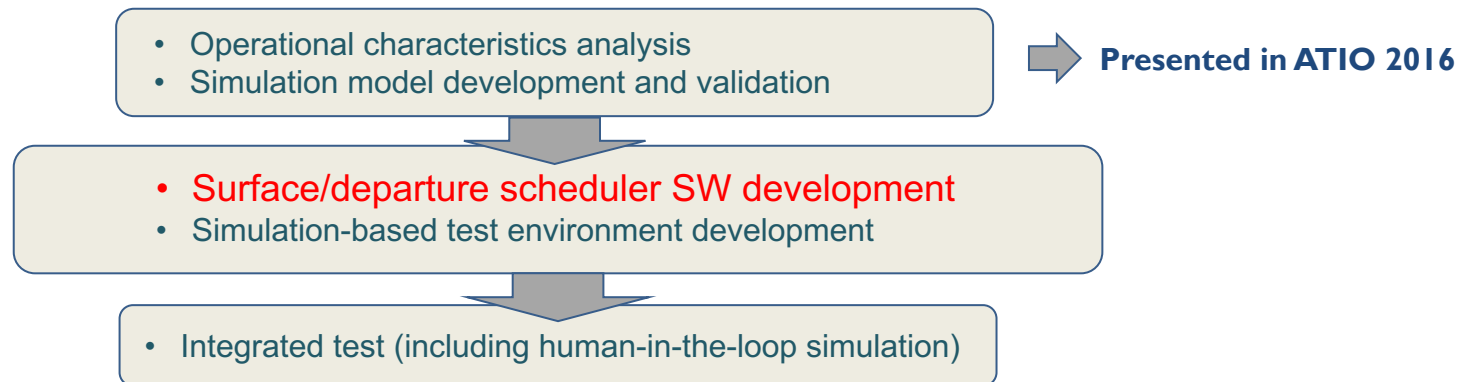
# Contents

---

- **Introduction**
- **Scheduling Requirements**
- **Runway Scheduling**
- **Taxiway Scheduling**
- **Optimization Test**
- **Conclusion**

# Introduction

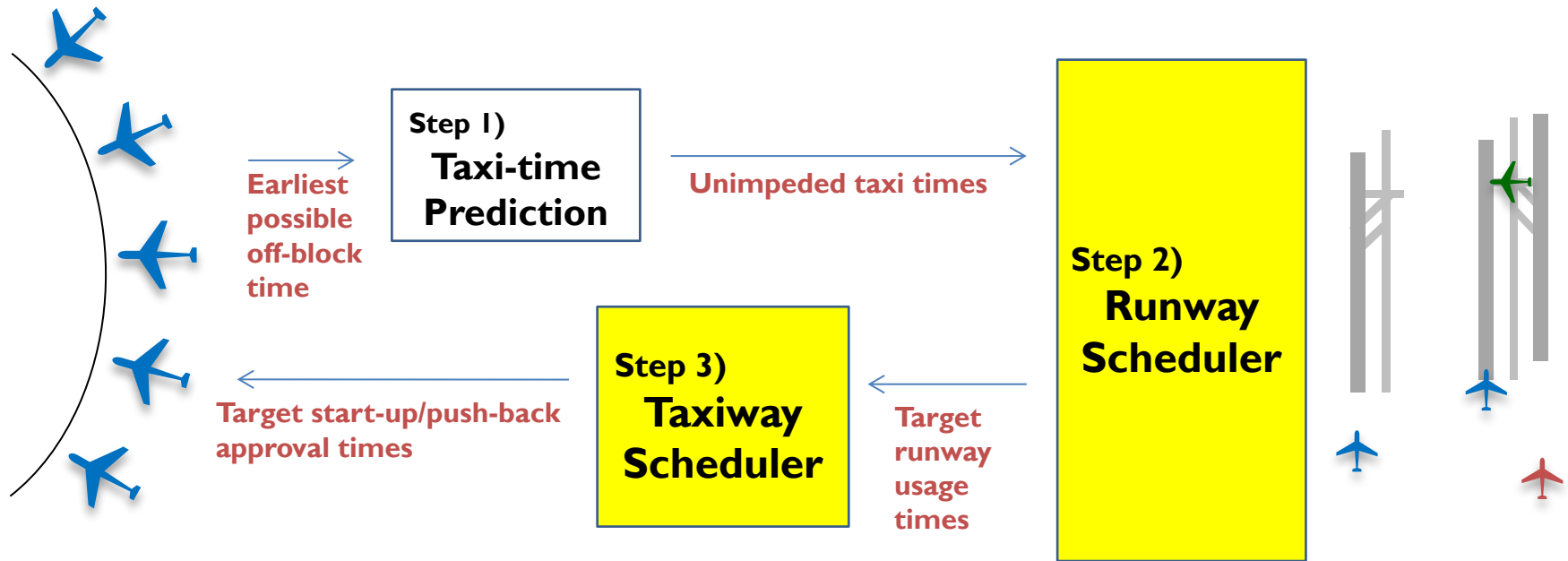
- ▶ Incheon International Airport (ICN) in South Korea
  - ▶ Surface congestion due to continuously growing traffic demands
  - ▶ Airport expansion project in progress
  - ▶ Growing need for CDM and controller decision support tool
- ▶ Research Purpose
  - ▶ SW Development of a decision support tool for IADS (Integrated Arrival, Departure, Surface) operation in ICN
  - ▶ Research collaboration between Korea Aerospace Research Institute (KARI) and National Aeronautics and Space Administration (NASA)



# Introduction

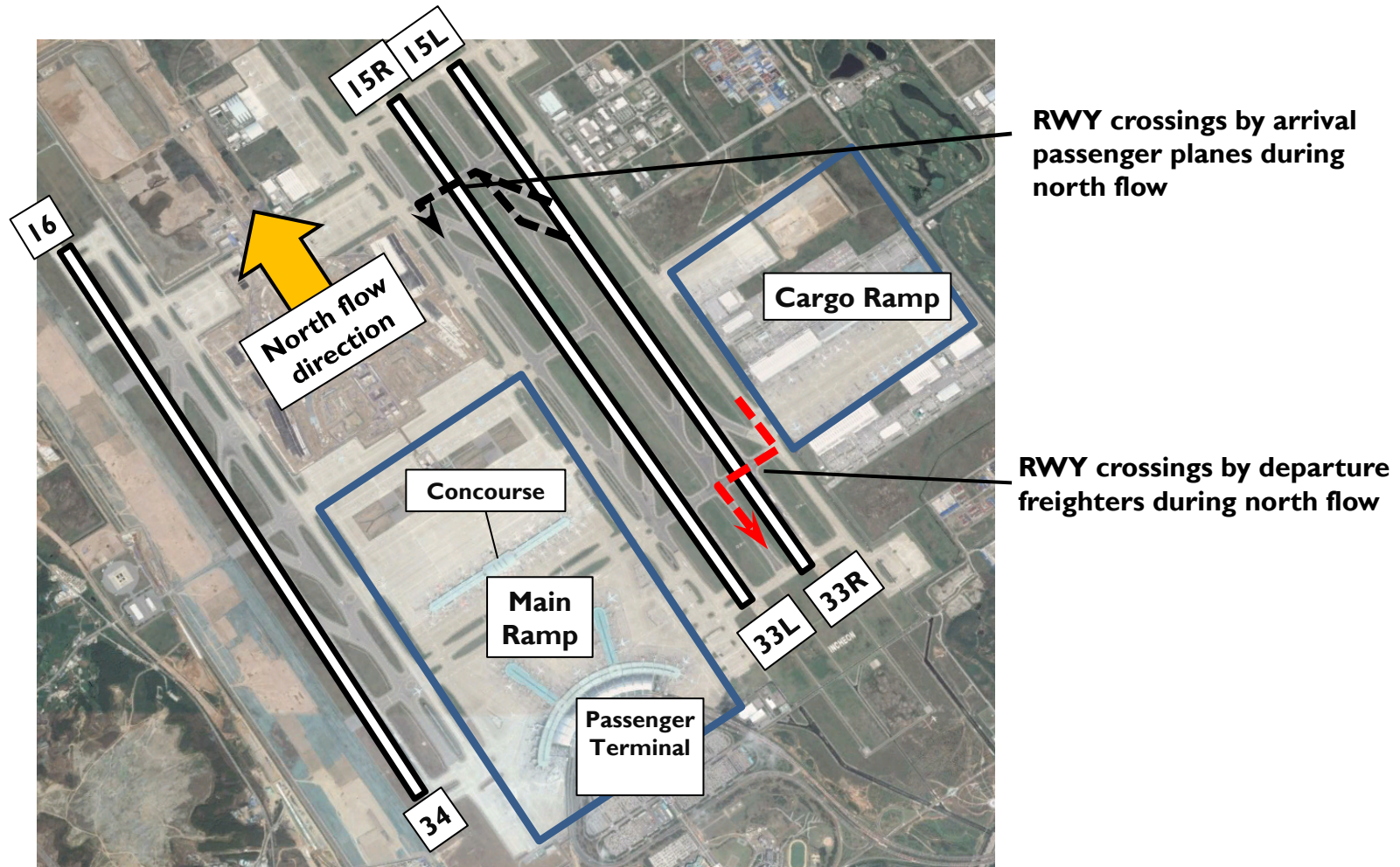
## ▶ Research Direction

- ▶ Based on 3-step approach



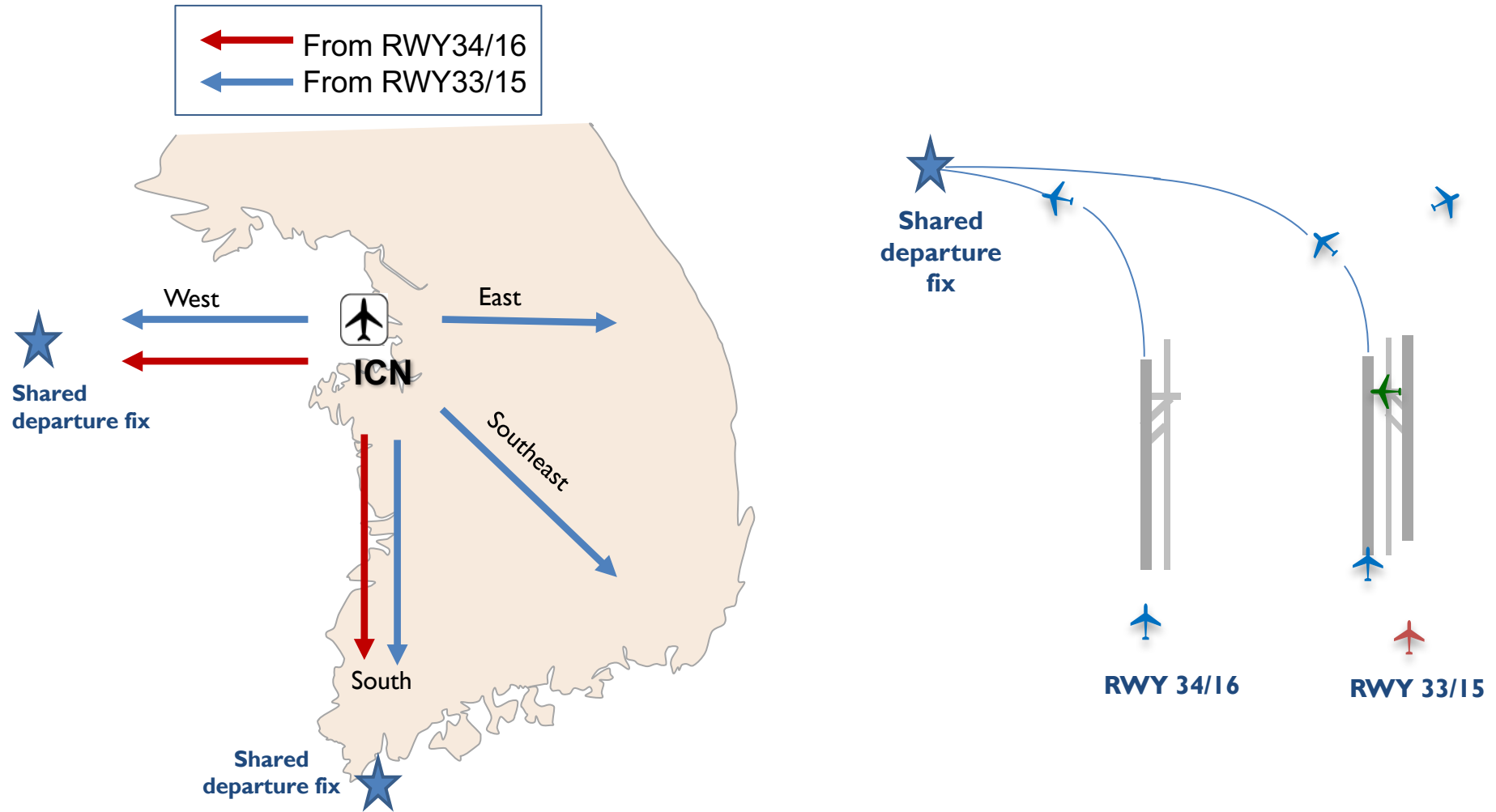
- ▶ MILP-based optimization models were developed and tested.

# Scheduling Requirements



Airport Configuration

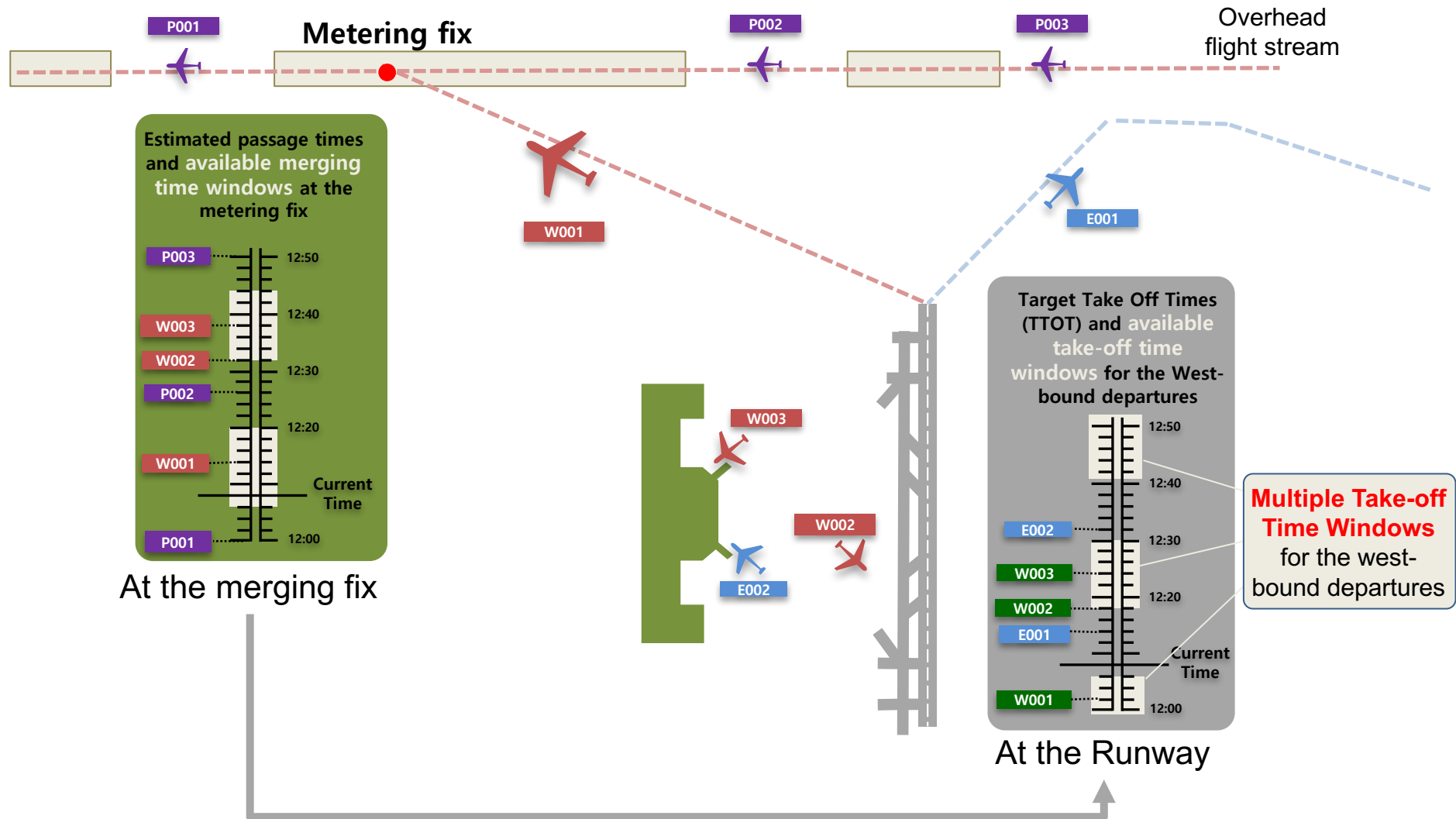
# Scheduling Requirements



Departure route directions and a shared departure fix from the multiple runways in ICN

# Scheduling Requirements

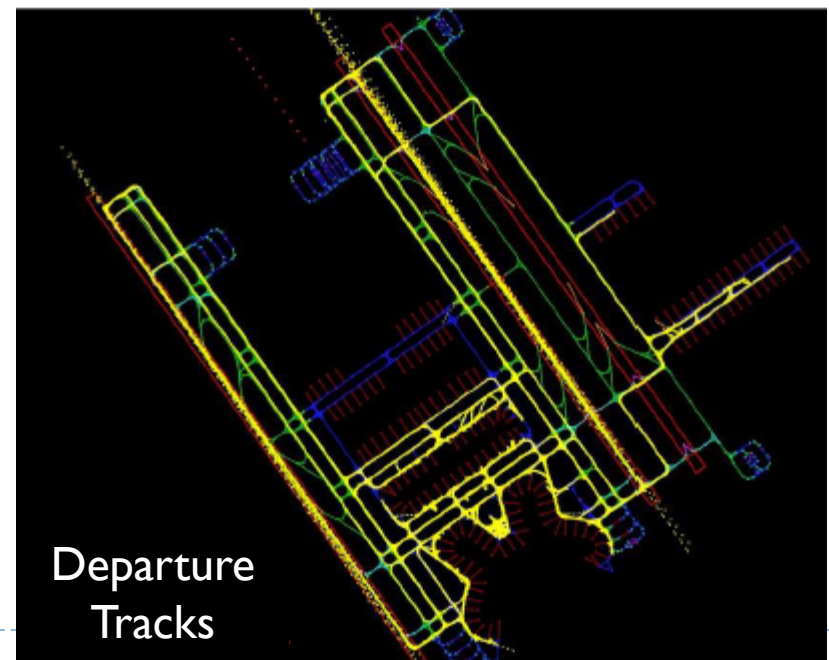
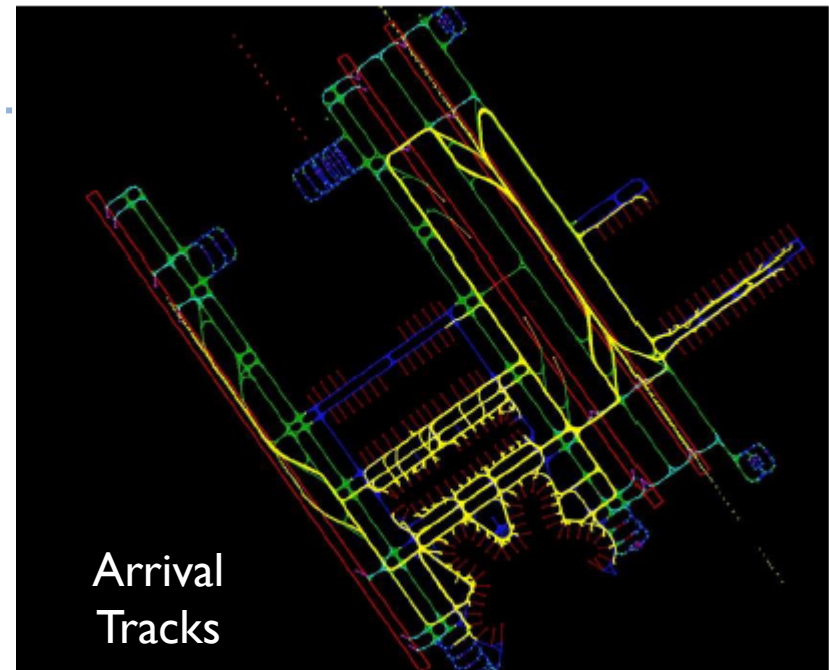
## Multiple Take-off Time Windows





# Scheduling Requirements

- ▶ Multiple runway scheduling
  - ▶ With shared departure fixes
- ▶ TMIs (Traffic Management Initiatives)
  - ▶ CFR
  - ▶ EDCT
  - ▶ MIT/MDI
  - ▶ Multiple takeoff time windows
- ▶ Runway crossings
  - ▶ Departure runway crossings by arrival flights
  - ▶ Arrival runway crossings by departure freighters
- ▶ Gate holding and pushback time limit
  - ▶ Earliest and/or latest takeoff time limit
- ▶ ELDT (Expected Landing Time)
  - ▶ Assumed to be given and not adjustable
- ▶ Taxi route of each aircraft
  - ▶ Assumed to be given and not adjustable





# Runway Scheduling

$$\text{minimize } \sum_{i \in D} (t_i - \text{Earliest}T_i)$$

$$\begin{aligned} \text{subject to } & z_{ij} + z_{ji} = 1, \quad \forall i, j \in D \cup A \cup C, \quad i \neq j \\ & t_j - t_i - \text{Rsep}_{ij} \geq -M(1 - z_{ij}), \quad \forall i, j \in D \cup A \cup C, \quad i \neq j \\ & \text{Earliest}T_i \leq t_i \leq \text{Latest}T_i, \quad \forall i, j \in D \cup A \cup C, \quad i \neq j \\ & z_{ij} \in \{0, 1\}, \quad \forall i, j \in D \cup A \cup C \\ & z_{ij} = 1, \quad \forall i, j \in D_{\text{Class}_k}, \quad \text{Earliest}T_i < \text{Earliest}T_j, \quad i \neq j \end{aligned}$$

**For ICN RWY scheduler,**

$$\begin{aligned} \forall i \in D, \quad & \begin{cases} \text{Earliest}T_i = \text{EarliestOff}T_i \\ \text{Latest}T_i = \text{EarliestOff}T_i + \text{MaxRunwayDelay}T_i \end{cases} \\ \forall i \in A, \quad & \text{Earliest}T_i = \text{Latest}T_i = \text{On}T_i \\ \forall i \in C, \quad & \begin{cases} \text{Earliest}T_i = \text{On}T_i + \text{Trans}T_i \\ \text{Latest}T_i = \min \{ \text{On}T_j + \text{Trans}T_j \mid \forall j \in C : \text{On}T_i < \text{On}T_j \} \end{cases} \end{aligned}$$

# Runway Scheduling

## <Additional Constraints for TMs>

- EDCT, CFR → Adjustment of Earliest $T_i$  and Latest $T_i$
- MIT(Miles-In-Trail), MDI (Minimum Departure Interval)

In case of MIT) 
$$t_j - t_i + \left( \text{Trans}T_j^k - \text{Trans}T_j^k - \frac{\text{MIT}_k}{\text{Trans}V_i^k} \right) \geq -M(1 - z_{ij}), \quad \forall i, j \in D_{\text{MIT}_k}, i \neq j$$

In case of MDI) 
$$t_j - t_i + (\text{MDI}_k) \geq -M(1 - z_{ij}), \quad \forall i, j \in D_{\text{MDI}_k}, i \neq j$$

- Multiple Take-off Time Windows

$$\text{Time}W_i = \{ [\text{MinTime}_{i,1}, \text{MaxTime}_{i,1}] , [\text{MinTime}_{i,2}, \text{MaxTime}_{i,2}] \cdots , [\text{MinTime}_{i,N_{W_i}}, \text{MaxTime}_{i,N_{W_i}}] \}$$

$$s_i^k = \begin{cases} 1 & \text{if } \text{MinTime}_{i,k} \leq t_i \leq \text{MaxTime}_{i,k} \\ 0 & \text{otherwise} \end{cases}$$

$$s_i^k \in \{1,0\}, \quad \forall i \in D_{\text{Time}W}, k \in (1..N_{W_i}), \quad \sum_{k=1}^{N_{W_i}} s_i^k = 1, \quad \forall i \in D_{\text{Time}W}$$

# Taxiway Scheduling

$$\begin{aligned}
 & \text{minimize } \underbrace{\alpha_p \left( \sum_{i \in D, r \in R} \max[t_{i,r} - \text{DesiredOffT}_{i,r}, 0] \right)}_{\text{Late Take-off Time}} \\
 & + \underbrace{\alpha_d \left( \sum_{i \in D, r \in R} t_{i,r} - \sum_{i \in D, g \in G} t_{i,g} \right)}_{\text{Departure Taxi-out Time}} + \underbrace{\alpha_a \left( \sum_{i \in A, g \in G} t_{i,g} - \sum_{i \in A, r \in R} t_{i,r} \right)}_{\text{Arrival Taxi-in Time}}
 \end{aligned}$$

- subject to  $z_{ij}^u \in \{0, 1\}, \quad \forall i, j \in D \cup A, i \neq j, u \in I$  **Passage sequence of flight i and j at node u**
- $t_{i,u} \geq 0, \quad \forall i \in D \cup A, u \in N$  **Passage time of flight i at node u**
- $z_{ij}^u + z_{ji}^u = 1, \quad \forall i, j \in D \cup A, i \neq j, u \in I$  **Passage sequence at node u**
- $t_{i,v} \geq t_{i,u} + \text{MinTaxiT}_{uv}, \quad \forall i \in D \cup A, (u, v) \in E$  **Minimum travel time in link u-v**
- $z_{ij}^u = z_{ij}^v, \quad \forall i, j \in D \cup A, i \neq j, u, v \in I, (u, v) \in E$  **No overtaking allowed along taxiways**
- $z_{ij}^u + z_{ji}^v = 1, \quad \forall i, j \in D \cup A, i \neq j, u, v \in I, (u, v) \in E$  **Conflict free in bi-directional link**

# Taxiway Scheduling

subject to (continued)

$$t_{j,u} - t_{i,u} - (t_{i,v} - t_{i,u}) \frac{Dsep_{ij}}{l_{uv}} \geq -(1 - z_{ij}^u)M, \quad \forall i, j \in D \cup A, \quad i \neq j, \quad u \in I, \quad (u, v) \in E$$

$$t_{j,v} - t_{i,v} - (t_{j,u} - t_{i,v}) \frac{Dsep_{ij}}{l_{uv}} \geq -(1 - z_{ij}^v)M, \quad \forall i, j \in D \cup A, \quad i \neq j, \quad v \in I, \quad (u, v) \in E$$

**Maintaining required separations at intersections**

$$t_{j,r} - t_{i,r} - Rsep_{ij} \geq -(1 - z_{ij}^r)M, \quad \forall i, j \in D, \quad i \neq j, \quad r \in R \quad \text{Runway separation}$$

$$t_{i,r} \geq \text{EarliestOffT}_{i,r}, \quad \forall i \in D, \quad r \in R \quad \text{Earliest take-off time}$$

$$t_{i,g} \geq \text{OutT}_{i,g}, \quad \forall i \in D, \quad g \in G \quad \text{Pushback ready time}$$

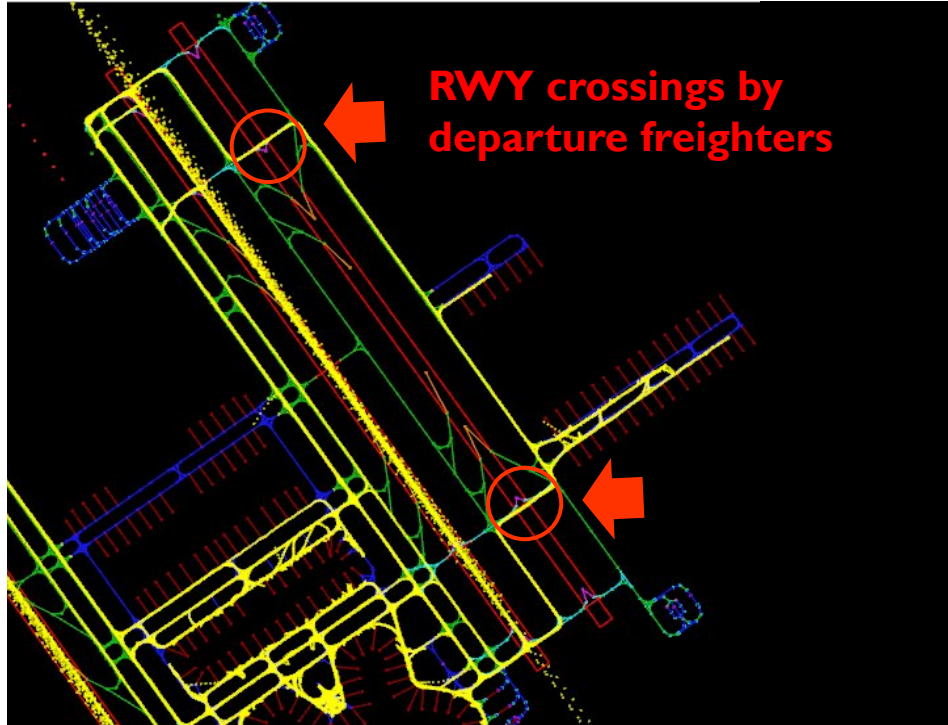
$$t_{i,g} \leq \text{OutT}_{i,g} + \text{MaxGateHold}_{i,g}, \quad \forall i \in D, \quad g \in G \quad \text{Maximum gate holding time}$$

$$t_{i,r} = \text{OnT}_{i,r}, \quad \forall i \in A, \quad r \in R \quad \text{Arrival landing time}$$

$$t_{i,u} = \text{FrozenT}_{i,u}, \quad \forall i \in D' \cup A', \quad u \in N \quad \text{Frozen schedule}$$

# Taxiway Scheduling

## <Additional Constraints for RWY crossings>



Departure Tracks

$C_{dep}$  : Set of departure freighters  
(which need to cross the arrival runway.)

$$C_{dep} \subset D$$

crossing sequence = departure sequence

$$z_{ij}^c = z_{ij}^r \quad \forall i, j \in C_{dep}, i \neq j, r \in R$$

Runway separation with Arrivals

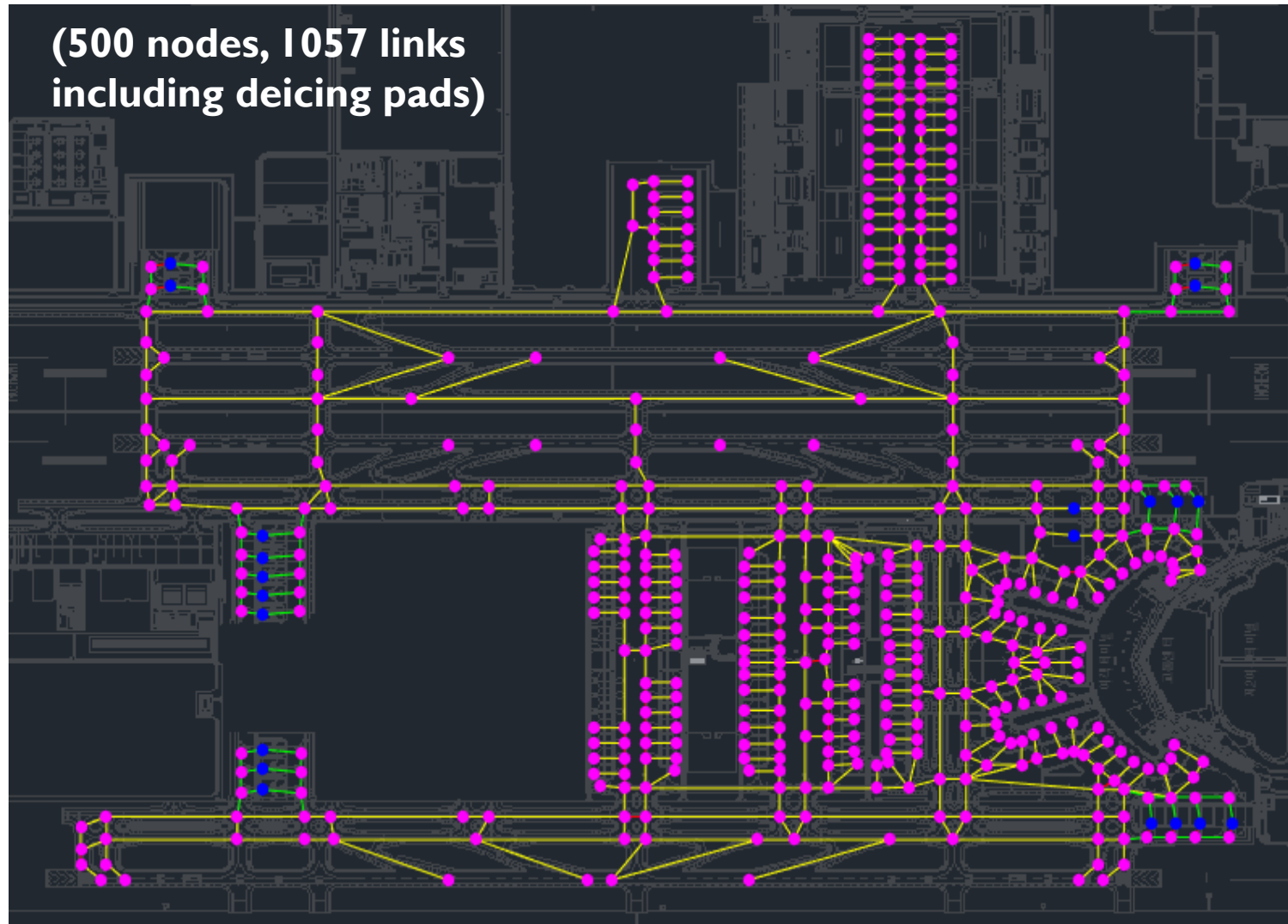
$$t_{j,r} - t_{i,c} - \text{Rsep}_{ij} \geq -M(1 - z_{ij}^{crs})$$

$$t_{i,c} - t_{j,r} - \text{Rsep}_{ji} \geq -M \cdot z_{ij}^{crs}$$

$$z_{ij}^{crs} \in \{0, 1\}, \quad \forall (i, j) \in (C_{dep} \times A)$$

# Taxiway Scheduling

## ICN Node-link model for taxiway scheduling



# Optimization Tests

## RWY separation matrix

Separation between  
Dep and Dep (sec)

		Tailing Aircraft			
		L	M	H	S
Leading aircraft	L	120	120	120	120
	M	180	120	120	120
	H	180	180	120	120
	S	180	180	120	120

Dep	L	M	H	S
	80	52	45	45

Arr	L	M	H	S
	85	47	40	40

Crs	L	M	H	S
	30	30	30	30

RWY occupancy times (sec)

Separation between Dep and Arr : RWY occupancy time of a preceding aircraft + 10sec  
 Separation between Dep and Crs : RWY occupancy time of a preceding aircraft + 10sec

Separation between operations on independent RWYs : 0sec



# Optimization Tests

## ▶ Single Scenario Test



- ▶ **Purpose)** Optimization results check for both runway scheduling and taxiway scheduling.
- ▶ **Test Scenario)** Based on the real operation data of April 2015, the number of departures was assumed to be increased by 30% from a normal traffic volume.

## ▶ Monte-Carlo Test



- ▶ **Purpose)** Computation time performance check for the multiple runway scheduling problem.
- ▶ **Test Scenario)** Number of departures and arrivals are assumed to be same with the current peak time operation. For each test case, 100 randomly generated scenarios were used.

# Optimization Tests – single scenario test

## Scenario)

48 departures + 12 arrivals during 09:00-10:00

- 19 departures + 12 arrivals + 9 crossings on RWY33/15
- 29 departures on RWY34/16
- 4 departures from RWY33/15 and 11 departures from RWY34/16 merge into same route (South-bound)

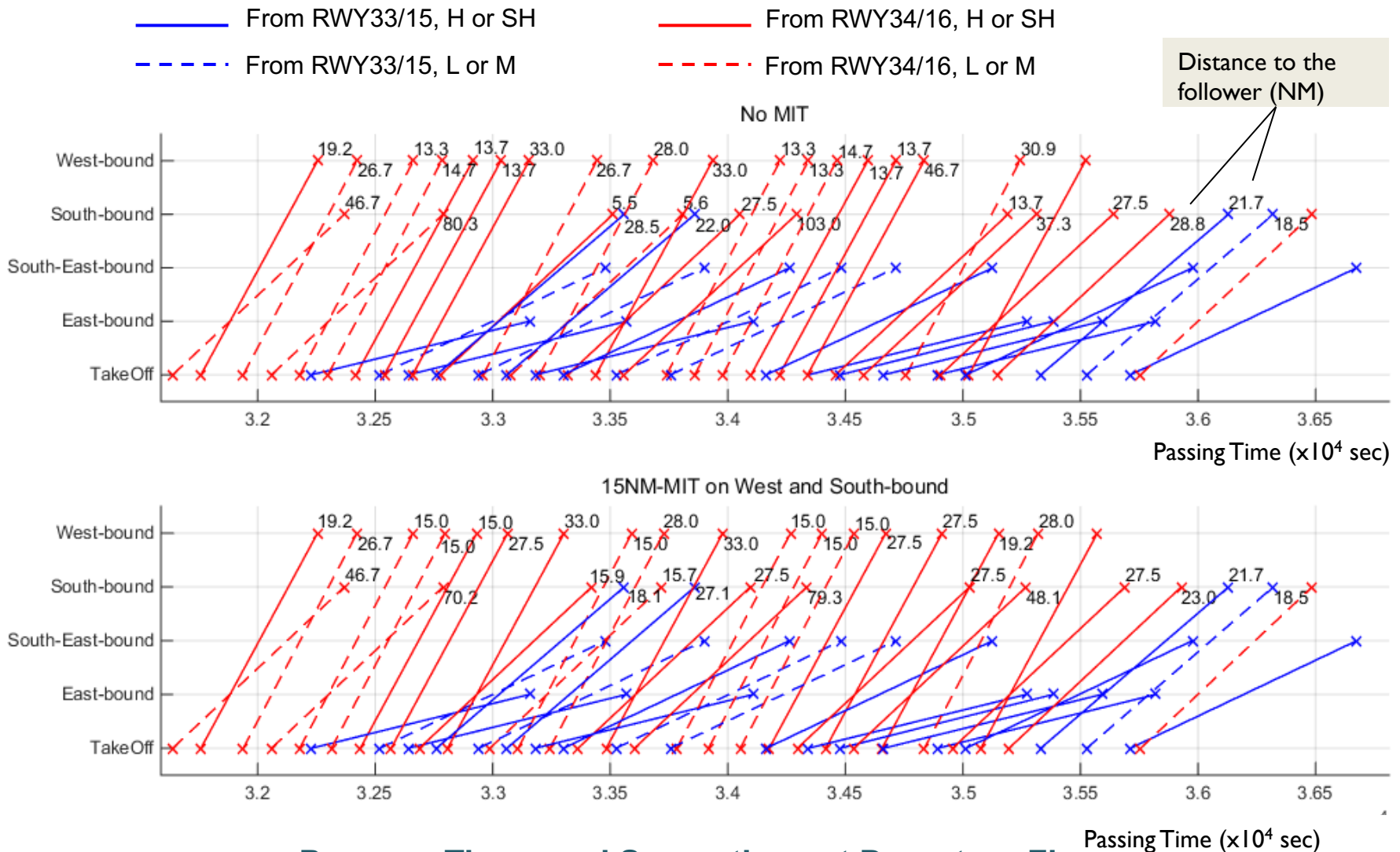
12 Arrivals	12 on RWY33/15	L	M	H	S	9 PAX(RWY crossing accompanied)+ 3 CGO			
			3	9					
48 Departures	19 on RWY33/15		5	13	1	W-bound	S-bound	SE-bound	E-bound
						0	4	8	7
	29 on RWY34/16		13	16		18	11	0	0

## Constraints)

CPS : 3

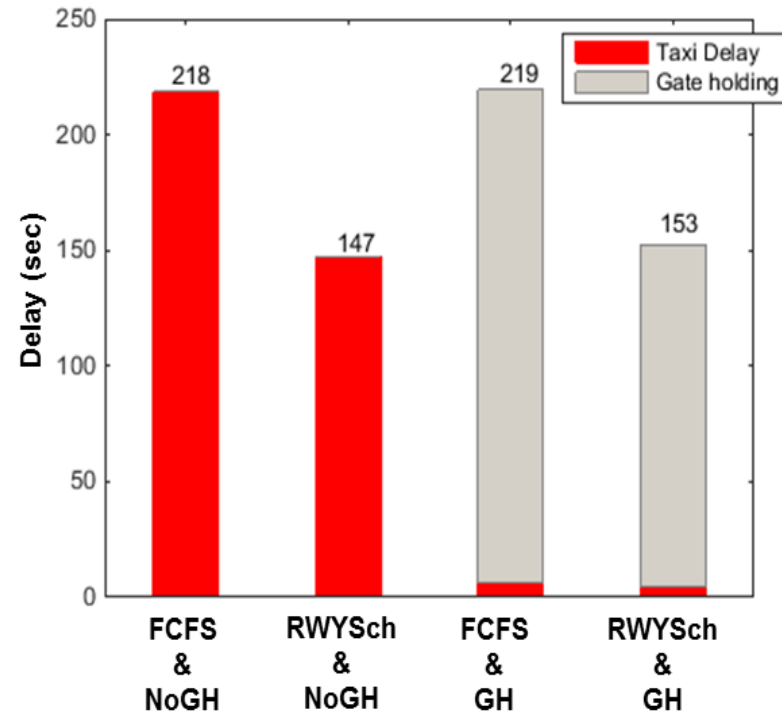
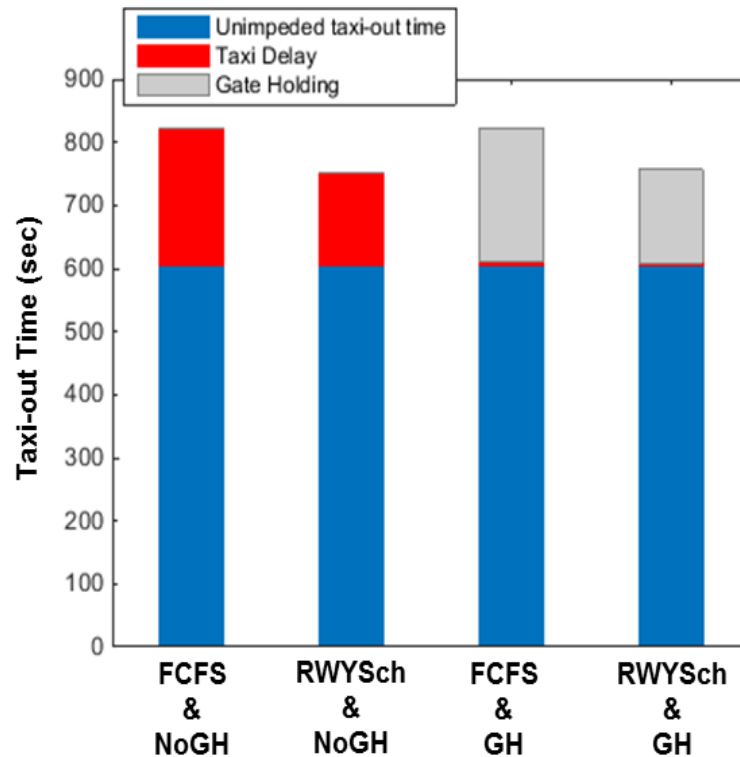
TMI : MIT on West-bound/South-bound

# Optimization Tests – single scenario test



## Passage Times and Separations at Departure Fixes

# Optimization Tests – single scenario test

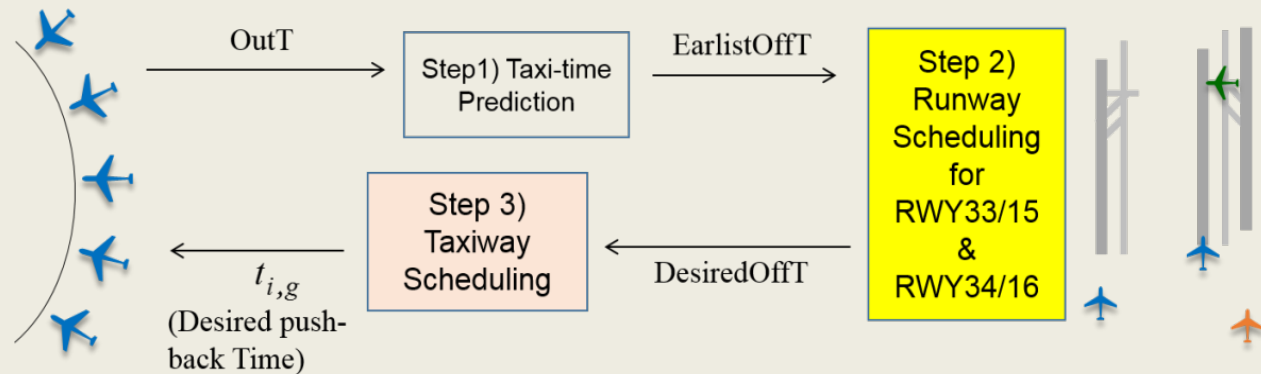


Averaged taxi-out time and delay per departure aircraft

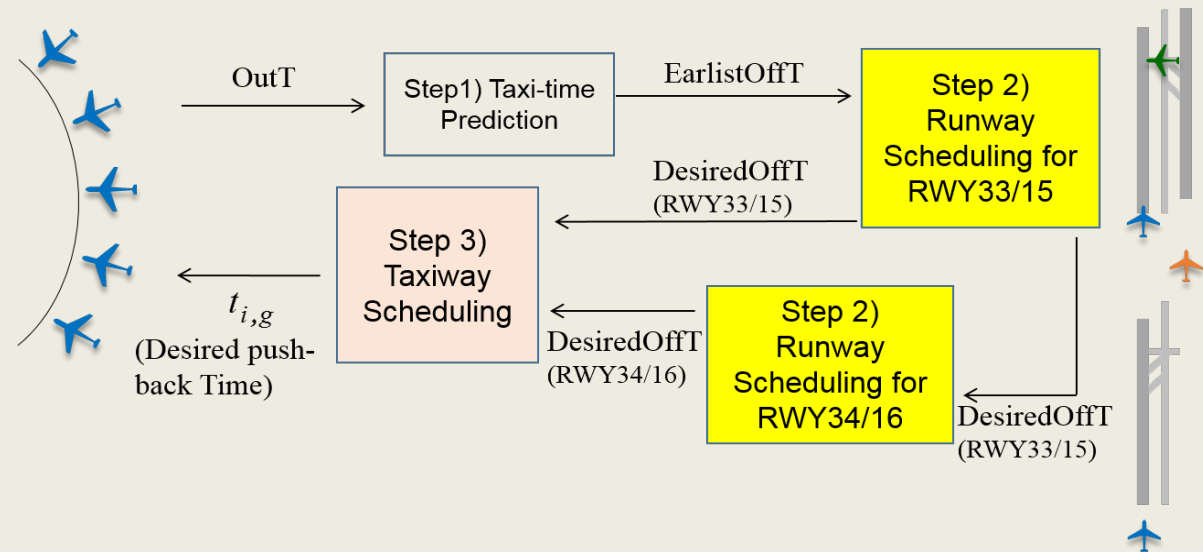
# Optimization Tests – Monte-Carlo test

## Two different methods for the multiple runway scheduling problem

**Simultaneous optimization**  
for the  
multiple runway  
scheduling



**Sequential optimization**  
for the  
multiple runway  
scheduling



# Optimization Tests – Monte-Carlo test

## Test scenarios

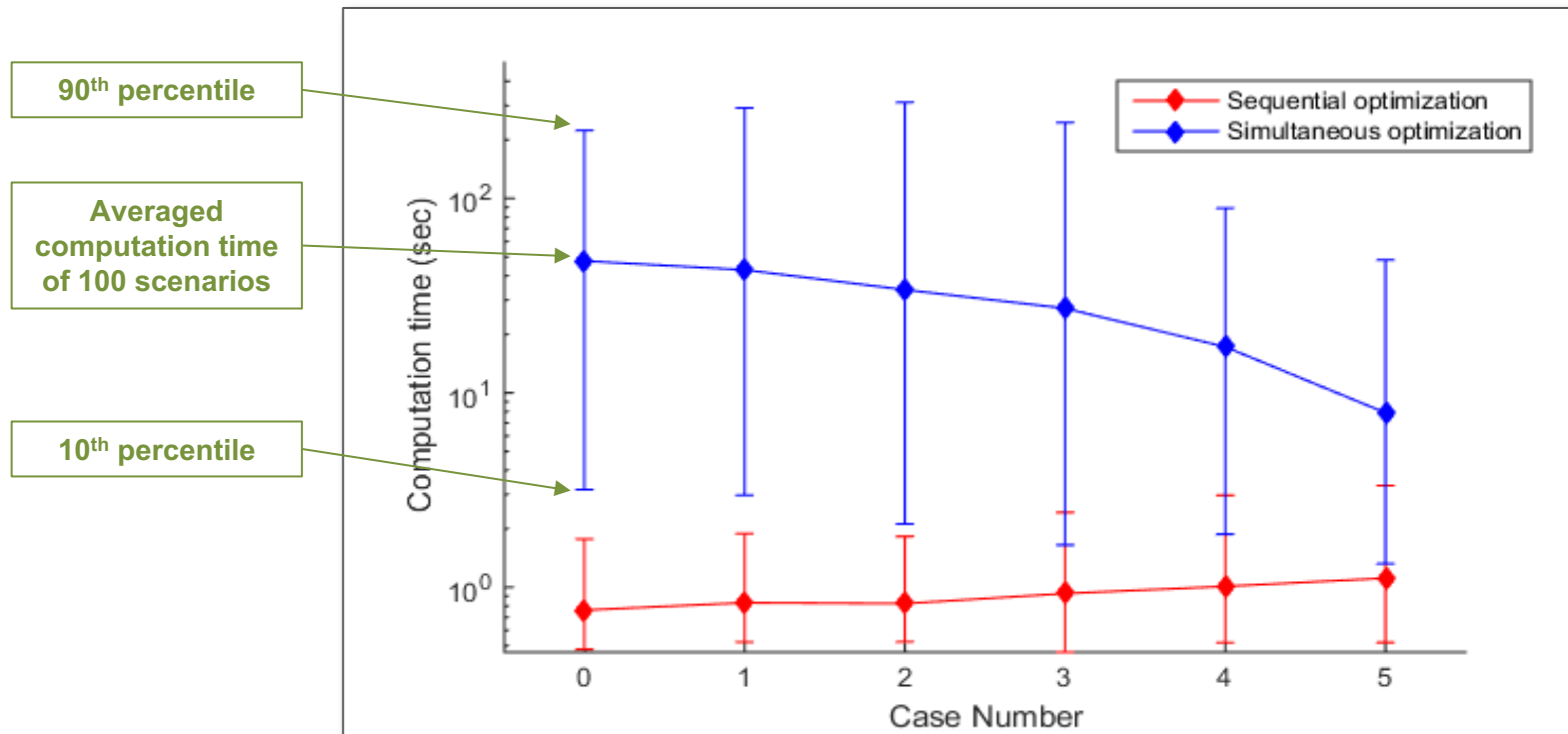
- 40 departures + 20 arrivals for 1 hour (the number of departure runways: 2)
- 15NM MIT separation on south-bound departures
  - Involves all south-bound departures from both runways to the shared departure fix.
- 100 random scenarios for each test case

	The total number of departures = 40	
	from RWY 33L/15R (to the shared fix)	from RWY 34/16 (to the shared fix)
Case 0	15 (5)	25 (10)
Case 1	14 (4)	26 (11)
Case 2	13 (3)	27 (12)
Case 3	12 (2)	28 (13)
Case 4	11 (1)	29 (14)
Case 5	10 (0)	30 (15)

- The total number of the south-bound departures to the shared departure fix are same.
- The south-bound departures which take-off from RWY 33L/15R were re-assigned to RWY34/16 one-by-one over case 0-5.

# Optimization Tests – Monte-Carlo test

## Test results: computation time comparison

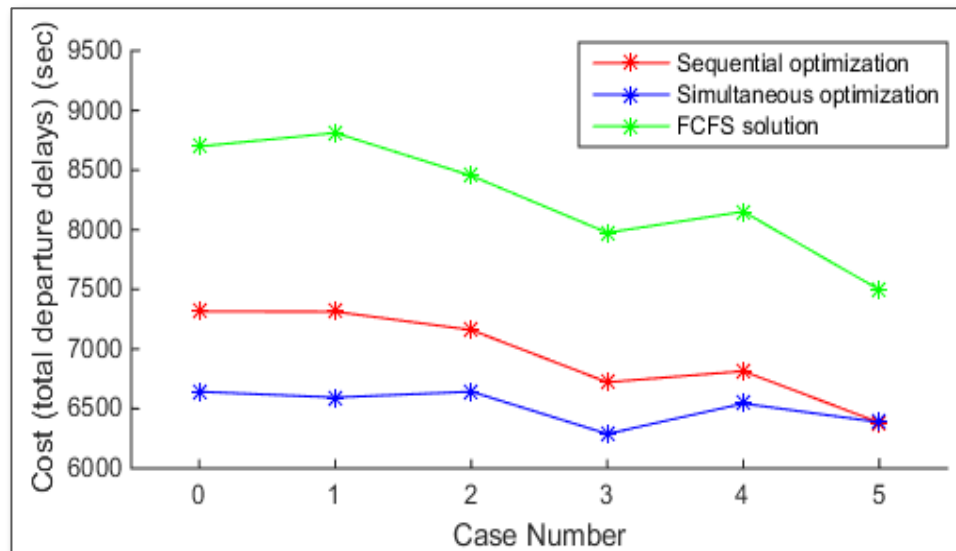


Computation time comparison in a log scale

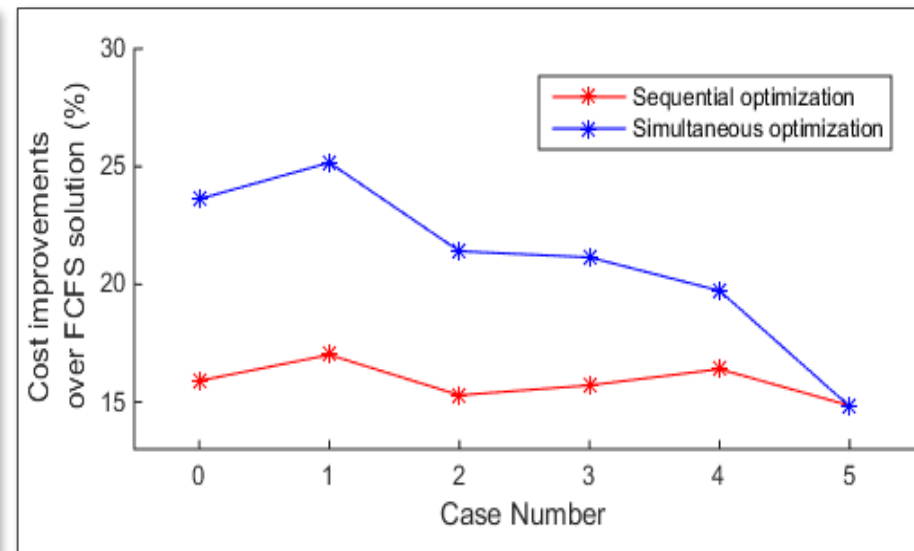


# Optimization Tests – Monte-Carlo test

## Test results: Optimization cost comparison



Optimization cost comparison



Cost improvements over FCFS solution

# Conclusion

- ▶ Developed the optimization models for airport surface traffic scheduling
  - ▶ MILP-based optimization models for runway scheduling and taxiway scheduling were developed and tested.
  - ▶ TMIs and operational characteristics which are specific to ICN were incorporated.
    - ▶ Multiple runway scheduling with consideration for MIT(Miles-In-Trail) separation at the shared departure fix
    - ▶ 'Multiple take-off time windows' constraints
    - ▶ Two different types of runway crossings on the coupled runways 33L/15R and 33R/15L.
- ▶ Suggested a method for better computation time performance
  - ▶ The sequential optimization using 'multiple take-off time windows' was proposed.
  - ▶ The sequential optimization shows much better performance with reasonably low cost for the multiple runway scheduling problem.
- ▶ **Future Works**
  - ▶ Integration of the additional requirements from ANSP (Air Navigation Service Provider) of ICN, such as cruise altitude assignment to the departure flights in pre-departure sequencing stage.
  - ▶ Runway assignment problem for runway balancing at an airport with multiple departure runways.

# Thank You

Contact to: [yjeun@kari.re.kr](mailto:yjeun@kari.re.kr)